

Spectrum Risk Management
Financial Products Division

TO: Spectrum 401k Department
Fax: (949) 756-5740

Company Name: _____

Contact on 401(k): _____

Contact Phone Number: _____

Number of EE's: _____

Total Annual Payroll: _____

Do you currently have a 401(k) Plan? _____

If yes, what are approximate amounts of Assets?

If yes, who Provides Administrative Services?

If yes, who Provides Investment Management Services?
